



FARM CREDIT  
BANK OF TEXAS

## FCBT DIRECTOR NOMINEE DISCLOSURE STATEMENT

### PART A

#### For Disclosure to Stockholders in Compliance with FCA 611.330

#### **Instructions:**

FCA Regulation 611.330 requires each nominee for election to the board of directors of the Farm Credit Bank of Texas (bank) to provide a disclosure statement. This **Part A** of the disclosure statement (as originally submitted by the nominee) will be provided to each district association with the association ballot, and will also be provided as part of the Information Statement issued for director elections in accordance with FCA Regulation 620.21(b). Information may be submitted by typing or handwriting responses and/or by adding pages with your response, provided that Part A of the disclosure may not exceed two (2) pages total. If a particular item is not applicable, please mark it "N/A".

The information contained in this disclosure is required by FCA regulations and bank bylaws, and no person, including an incumbent, may be a nominee for director unless such Disclosures are made. Failure to provide the disclosure information will result in disqualification.

For optimal performance, we recommend this application be completed on a laptop or desktop computer. Please send the completed and signed form to the Nominating Committee in care of the Election Committee via email: [DLElectionCommittee@FarmCreditBank.com](mailto:DLElectionCommittee@FarmCreditBank.com). Please contact Nanci Tucker at 512.465.1851 with any questions.

#### **General Information**<sup>1</sup>

1. Nominee's Name:
2. Nominee's age when disclosure was signed:
3. City and State of Residence:
4. Business Address:
5. Have you been a U.S. citizen for at least ten (10) years<sup>2</sup>? Yes \_\_\_\_\_ No \_\_\_\_\_

<sup>1</sup> Information required under FCA Regulation 611.330.

<sup>2</sup> Bank bylaws require directors to have been a citizen of the United States for at least ten (10) years.

6. Service on the bank's nominating committee as a member or alternate in the last two (2) years<sup>3</sup>? Yes \_\_\_\_\_ (years of service: \_\_\_\_\_) No \_\_\_\_\_
7. Service as a salaried officer or employee of any Farm Credit System bank or association in the past two (2) years<sup>4</sup>: Yes \_\_\_\_\_ (years of service: \_\_\_\_\_) No \_\_\_\_\_

**Voting Stockholder Information**

8. Nominees must be a district association voting stockholder or an individual (officer or holder of equity interest) designated to vote the stock of a corporation, limited liability company, or partnership holding voting stock in a district association<sup>5</sup>. Please identify the name of all district associations of which you are a voting stockholder.
9. If you are the individual designated to vote the stock of a district association held by a corporation, limited liability company or partnership, please identify such entity(ies), specify whether you are an officer or hold equity interest in such entity(ies) and specify title or describe equity interest, and identify the district association(s):

Entity Name	Officer or Equity Holder?	Title or Equity Interest	Association
-------------	---------------------------	--------------------------	-------------

**Business Experience**<sup>6</sup>

10. Principal Occupation:
11. List business interests where you are *currently* employed in a position of authority or as a senior officer, and state the principal business in which the business interest is engaged:

Name of Entity	Principal Type of Business	Position Held
----------------	----------------------------	---------------

---

<sup>3</sup> FCBT bylaws provide that no person shall be eligible to serve as a stockholder-elected on the board who was elected as a member or alternate on the bank's Nominating Committee in the year preceding the date the term of office is to begin.

<sup>4</sup> FCA Regulation 611.310 provides that no person is eligible for membership on the bank board who is or has been, within 1 year preceding the date the term of office begins, a salaried officer or employee of any System bank or association.

<sup>5</sup> FCBT bylaws require that directors elected by stockholders shall be voting stockholders of an association affiliated with the bank residing in the region up for election. No more than one stockholder of an association (or an association under joint management) may serve on the bank board at any one time.

<sup>6</sup> Information required under FCA Regulation 611.330, 620.6.

12. List any employment during the past five (5) years not already described above:

Name of Employer	Principal Type of Business	Position Held
------------------	----------------------------	---------------

13. Name of agricultural or business operations, if applicable, during the past five (5) years:

14. Other business experience during the last five (5) years:

15. Types of agriculture in which you are engaged<sup>7</sup>:

**Board Service**<sup>8</sup>

16. List all business interests in which you *currently* serve on the board of directors, including your current association:

Entity Name	Principal Business	Board Committees	Length of Service
-------------	--------------------	------------------	-------------------

---

<sup>7</sup> FCA Regulation 611.325 encourages representation of all types of agriculture practiced within the bank's territory when possible.

<sup>8</sup> Information required under FCA Regulation 611.330.

## Transaction Reporting

17. Was a district association *loan* made to or outstanding with any member of your family<sup>9</sup> or any organization with which you are affiliated<sup>10</sup>, during the last fiscal year?  
Yes \_\_\_\_\_ No \_\_\_\_\_

If Yes, please provide the name(s) of the member(s) of your immediate family or the affiliated organizations involved:

18. Did you or any member of your family or any organization with which you are affiliated enter into a transaction *other than a loan* with the bank or a district association during the last fiscal year? (For example, the purchase of crop insurance, purchase of bank or district association preferred stock, providing a service as a vendor (e.g., catering services or accounting services) to the bank or a district association.  
Yes \_\_\_\_\_ No \_\_\_\_\_

If Yes, please provide the name(s) of the member(s) of your family or the affiliated organizations involved:

## Legal Proceedings<sup>11</sup>

19. During the past five (5) years, have any of the legal proceedings set forth below been filed by or against you, your business, your property, or any partnership in which you are/were a general partner (if you were in such position at or within two (2) years before the time of such filing), or against any business association or corporation of which you have been a senior officer (if you were in such position at or within two (2) years before the time of such filing)?

- Petition under Federal bankruptcy laws or State insolvency laws; or
- Receiver, fiscal agent, or similar officer appointed by a court

Yes \_\_\_\_\_ No \_\_\_\_\_

---

<sup>9</sup> “**Family**” means parents, spouses or civil union partners, children, siblings, uncles, aunts, nephews, nieces, grandparents, grandchildren, and the spouses foregoing, whether arising from biological, adoptive, marital, or other legal means (i.e., stepparents, stepchildren, half-siblings, in-laws). The term also includes anyone residing in the household or who is a legal or financial dependent, regardless of any familial relationship.

<sup>10</sup> “**Affiliated organization**” means any organization, other than a Farm Credit organization, of which a director, senior officer or nominee for director of the reporting institution is a partner, director, officer, or majority shareholder.

<sup>11</sup> FCA Regulation 620.6

If Yes, please provide details:

20. During the past five (5) years, have you been convicted in a criminal proceeding or been a named party in a pending criminal proceeding (excluding traffic violations and other misdemeanors)?

Yes \_\_\_\_\_ No \_\_\_\_\_

If Yes, please provide details:

21. During the past five (5) years, have you been the subject of any order, judgment, or decree, not subsequently reversed, suspended, or vacated, by any court of competent jurisdiction, permanently or temporarily enjoining or otherwise limiting the nominee from engaging in any type of business practice?

Yes \_\_\_\_\_ No \_\_\_\_\_

If Yes, please provide details:

If additional space is required to provide complete responses, please submit the supplemental information via email to [DL.ElectionCommittee@FarmCreditBank.com](mailto:DL.ElectionCommittee@FarmCreditBank.com).

By signing below, I hereby certify that the information set forth in this disclosure is true and correct to the best of my knowledge. I hereby give my permission and grant authority to the Nominating Committee and Farm Credit Bank of Texas to publish and/or distribute in connection with the election, all or any part of the information in this statement; and, for such purpose, to condense and/or restate all or any part of such information.

Nominee's Signature:

---

Name

Date

**PART B**  
**For Internal Nominating Committee Review**

The following information is utilized by the Nominating Committee to determine who is eligible, qualified and willing to serve as a stockholder-elected director of the Farm Credit Bank of Texas. This information is for internal evaluation only and will not be provided to district associations or included in the Information Statement issued for director elections.

**Loan Transactions**

1. Please list the name(s) of all individuals and entities which maintain loans with a district association on which you have **signed, co-signed or guaranteed**.

2. Do you, any member of your family<sup>12</sup>, or any affiliated organization<sup>13</sup> have one or more loans with a district association?

Nominee	Yes _____	No _____
Family	Yes _____	No _____
Affiliated Organization	Yes _____	No _____

If you answered 'Yes' above, were these loans made in the ordinary course of business and were they made on the same terms, including interest rate, amortization schedule, and collateral, as those prevailing at the time for comparable transactions with other borrowers?

Nominee	Yes _____	No _____
Family	Yes _____	No _____
Affiliated Organization	Yes _____	No _____

If any items above were marked "No", please provide details, including the applicable interest rate and repayment terms:

---

<sup>12</sup> "**Family**" means parents, spouses or civil union partners, children, siblings, uncles, aunts, nephews, nieces, grandparents, grandchildren, and the spouses foregoing, whether arising from biological, adoptive, marital, or other legal means (i.e., stepparents, stepchildren, half-siblings, in-laws). The term also includes anyone residing in the household or who is a legal or financial dependent, regardless of any familial relationship.

<sup>13</sup> "**Affiliated organization**" means any organization, other than a Farm Credit organization, of which a nominee for director is a partner, director, officer, or majority shareholder.

3. Did any loan to you, any member of your family who resides in the same household or in whose loan or business operation you have a material financial or legal interest, or any affiliated organization involve more than the normal risk of collectability<sup>14</sup>?

Nominee	Yes _____	No _____
Family	Yes _____	No _____
Affiliated Organization	Yes _____	No _____

If any items above were marked “Yes”, please provide details, including the amount past due, if any, and the reason the loan is deemed to involve more than a normal risk of collectability:

**Transaction Other Than Loans**<sup>15</sup>:

4. Do you, any member of your family<sup>16</sup>, or any organization with which you are affiliated (i.e., partner, officer, or a majority shareholder) have any non-loan transactions or current business relationships with the bank, such as a commercial or investment banker, attorney or any other relationship involving the provision of services or products to the bank, or immediate family ties to members of the bank’s board of directors or management?<sup>17</sup> Note that information need not be disclosed if (1) the transaction involved competitive bidding, (2) the transaction amount does not exceed \$5,000, or (3) if the person’s interest in the transaction arises because of stock ownership only and the benefit received is the same as that of other stockholders.

Nominee	Yes _____	No _____
Family	Yes _____	No _____
Affiliated Organization	Yes _____	No _____

If you answered “Yes” above, briefly describe:

- (1) The nature of interest in the transaction, and
- (2) The terms of the transaction.

---

<sup>14</sup> The “normal risk of collectability” means the ordinary risk in the lending operation, and loans with more than the normal risk of collectability include any adversely classified loans.

<sup>15</sup> FCA Regulation 620.6

<sup>16</sup> FCA Regulation 620.6

<sup>17</sup> Bank Director Qualifications, Training & Evaluation Policy require that directors be free from any relationship that, in the opinion of the board, would interfere with the exercise of independent judgment as an audit or compensation committee member.

**Qualifications**<sup>18</sup>:

5. Are you knowledgeable in at least one of the following: public and corporate finance; financial reporting and disclosure; or accounting procedures? Sufficient knowledge to meet this qualification may be demonstrated by completion of college courses in finance or accounting, or the equivalent, by experience in the operation of a personal business, System institution, a financial institution or other business enterprise or by attendance at director training programs.

Yes \_\_\_\_\_ No \_\_\_\_\_

6. Do you have the ability to devote adequate time and attention to the fulfillment of bank board responsibilities?

Yes \_\_\_\_\_ No \_\_\_\_\_

7. Please describe relevant experience, education, training, or knowledge which may be relevant for consideration by the Nominating Committee, including but not limited to:

- (a) experience, education, training, or knowledge of either public and corporate finance, financial reporting and disclosure, or accounting procedures
- (b) prior experience as a board member or senior executive in a System or non-System financial institution or agricultural or agribusiness enterprise with responsibility for finance, operations or marketing
- (c) experience in government, particularly legislative or administrative experience related to agriculture, economics or banking
- (d) experience in academia, particularly in fields related to agriculture, economics, banking, finance, accounting and marketing

---

<sup>18</sup> Bank Director Qualifications, Training & Evaluation Policy

If additional space is required to provide complete responses, please submit the supplemental information via email to: [DLElectionCommittee@FarmCreditBank.com](mailto:DLElectionCommittee@FarmCreditBank.com).

By signing below, I hereby certify that the information set forth in this disclosure is true and correct to the best of my knowledge. I further certify that I am eligible to run for election to the Board of Directors of the Farm Credit Bank of Texas under 12 CFR 611.310 and that I am willing to do so.

Nominee's Signature:

---

Name

Date